



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John S. HENDRICKS et al.

Atty. Docket No. 026880-00024

Serial No.: 09/289,957

Examiner: John M. Winter

Filed: April 13, 1999

Art Unit: 3621

For: ELECTRONIC BOOK ALTERNATIVE DELIVERY SYSTEMS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: September 28, 2006

Sir:

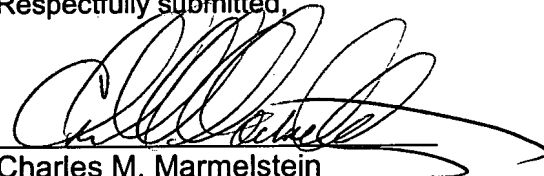
Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☒ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
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- ☒ 4. The references were cited in corresponding foreign applications and related U.S. and foreign applications.
- ☒ 5. Copies of the foreign patent documents and the publications are on the attached CD.

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00024.

Respectfully submitted,



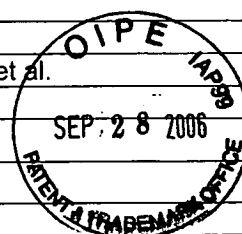
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Form PTO/SB/08a				<b>Complete if Known</b>		
				Application Number	09/289,957	
				Filing Date	April 13, 1999	
				First Named Inventor	John S. HENDRICKS et al.	
				Art Unit	3621	
				Examiner Name	John M. Winter	
Sheet	1	of	28	Attorney Docket Number	026880-00024	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear



		3,869,873		n/a	Ringstad	
		3,891,792		n/a	Kimura	
		3,978,470		n/a	McGuire	
		4,071,697		01-1978	Bushnell et al.	
		4,197,590		4/8/1980	Sukonick, et al.	
		4,251,671		n/a	Reed et al.	
		4,298,793		11/1981	Melis et al.	
		4,361,848		11/30/1982	Poigner et al.	
		4,381,522		4/26/1983	Lambert	
		4,398,216		n/a	Field et al.	
		4,405,829		09-1983	Rivest et al.	
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		4,533,948		8/6/1985	McNamara et al.	
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		4,616,263		10-1986	Eichelberger, George P.	
		4,621,282		n/a	Ahern	
		4,625,076		11-1986	Okamoto et al.	
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		4,633,462		n/a	Stifle et al.	
		4,639,225		1/27/1987	Washizuka	
		4,644,470		Feb-87	Feigenbaum et al.	
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		4,668,218		5/26/1987	Virtanen	
		4,668,246		n/a	Eilers et al.	
		4,673,976			Wreford-Howard	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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		4,688,218		8/18/1987	Bliveau et al.	
		4,688,245		8/18/1987	Eilers, et al.	
		4,691,109		10/2/1990	Tanaka	
		4,694,483		9/1987	Cheung	
		4,694,490		9/15/1987	Harvey et al.	
		4,697,209		9/29/1987	Kiewit et al.	
		4,706,121		n/a	Young	
		4,712,105		12/8/1987	Kohler	
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		4,724,491		2/9/1988	Lambert	
		4,739,510		n/a	Jeffers et al.	
		4,751,578		6/14/1988	Reiter et al.	
		4,768,087		8/1988	Taub et al.	
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		4,805,014		n/a	Sahara, et al.	
		4,816,901		3/28/1989	Music, et al.	
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		4,829,372		n/a	McCalley et al.	
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		4,829,569		5/9/1989	Seth-Smith et al.	
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		4,855,725		08/08/1989	Fernandez	
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		4,885,803		n/a	Hermann et al.	
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		4,920,432		4/24/1990	Eggers, et al.	
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		4,930,160		5/29/1990	Vogel	
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		4,961,109		10/2/1990	Tanaka	
		4,965,819		10-1990	Kannes, Deno	

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		4,965,825		10/23/1990	Harvey, et al.	
		4,975,951		12/4/1990	Bennett	
		4,977,455		12/11/1990	Young	
		4,982,344		1/1991	Jordan	
		4,985,697		01/15/1991	Boulton	
		4,995,078		2/19/1991	Monslow et al.	
		4,996,597		2/26/1991	Duffield	
		4,997,455		n/a	Young	
		5,001,554		3/19/1991	Johnson et al.	
		5,003,384		3/26/1991	Durden, et al.	
		5,003,591			Kauffman et al.	
		5,010,499		04-1991	Yee, Keen Y.	
		5,014,125		5/7/1991	Pocach et al.	
		5,015,829		5/14/1991	Eilert, et al.	
		5,020,129		5/28/1991	Martin et al.	
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		5,036,394		7/30/1991	Morii	
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		5,038,402		n/a	Robbins	
		5,046,093		9/3/1991	Wachob	
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		5,056,138		n/a	Tyson, Sr.	
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		5,115,426		n/a	Spanke	
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		5,124,980		6/23/1992	Maki	
		5,130,792		7/14/1992	Tindell, et al.	
		5,130,924		7/1992	Barker, et al.	
		5,132,789		7/21/1992	Ammon et al.	
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		5,208,745		05-1993	Quentin et al.	
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		5,223,924		6/29/1993	Strubbe	
		5,233,333		08-1993	Borsuk, Sherwin M.	
		5,235,419		8/10/1993	Krause et al.	
		5,235,619		8/1993	Beyers et al.	

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		5,235,680		8/1993	Bijnagte	
		5,237,311		8/17/1993	Mailey, et al.	
		5,237,610		8/17/1993	Gammie et al.	
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		5,242,770		n/a	Schmelzer et al.	
		5,247,347		9/1993	Litteral et al.	
		5,247,575		09-1993	Sprague et al.	
		5,251,324		10/1993	McMullan	
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		5,289,288		2/22/1994	Silverman, et al.	
		5,291,554			Morales	
		5,293,540		3/8/1994	Trani et al.	
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		5,319,455		6/7/1994	Hoarty et al.	
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		5,319,649		6/1994	Raghaven et al.	
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		5,321,846		6/1994	Yokota et al.	
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		5,327,554		7/5/1994	Palazzi, III et al.	
		5,329,590		7/12/1994	Pond	
		5,339,091		08-1994	Yamazaki et al.	
		5,339,239		8/16/1994	Manabe et al.	
		5,339,315		8/16/1994	Maeda, et al.	
		5,341,166		8/23/1994	Garr, et al.	
		5,341,426		08/23/1994	Barney et al.	

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		5,341,474		8/23/1994	Gelman, et al.	
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				Application Number	09/289,957	
				Filing Date	April 13, 1999	
				First Named Inventor	John S. HENDRICKS et al.	
				Art Unit	3621	
				Examiner Name	John M. Winter	
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<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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				Examiner Name	John M. Winter	
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		5,506,902		4/1996	Kubota	
		5,509,074		4/1/1996	Choudhury et al.	
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		5,630,103		n/a	Smith et al.	
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		5,631,693		5/20/1997	Wunderlich et al.	
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		5,636,036		06-1997	Ashbey, James A.	
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		5,754,172			Kubota et al.	
		5,758,257		5/26/1998	Herz et al.	
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		6,493,734			Sachs et al.	
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Substitute for form 1449A/PTO

## Complete if Known

Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Examiner Name	John M. Winter
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		Taiwan Pat.Pub.No. 236744		n/a	Franklin		
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				Examiner Name	John M. Winter
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.